# Evaluating Cloud Properties at Scott Base: Comparing Ceilometer Observations with ERA5, JRA55, and MERRA2 Reanalyses Using an Instrument Simulator

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## Key Points:

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11	•	Cloud occurrence is underestimated below 3km in ERA5, JRA55 and MERRA2
12		reanalyses relative to observations, leading to cloud fraction biases
13	•	Observed cloud occurrence is more strongly impacted by synoptic state than sea-
14		son, ERA5 simulates this pattern better than JRA55 and MERRA2 $$
15	•	Super-cooled liquid cloud derived from ceilometer data have higher occurrences
16		than the three reanalyses, with MERRA2 having the least bias

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#### 17 Abstract

This study compares CL51 ceilometer observations made at Scott Base, Antarctica, with 18 statistics from the ERA5, JRA55, and MERRA2 reanalyses. To enhance the compar-19 ison we use a lidar instrument simulator to derive cloud statistics from the reanalyses 20 which account for instrumental factors. The cloud occurrence in the three reanalyses is 21 slightly overestimated above 3km, but displays a larger underestimation below 3 km rel-22 ative to observations. Unlike previous studies, we see no relationship between relative 23 humidity and cloud occurrence biases, suggesting that the cloud biases do not result from 24 the representation of moisture. We also show that the seasonal variation of cloud occur-25 rence and cloud fraction, defined as the vertically integrated cloud occurrence, are small 26 in both the observations and the reanalyses. We also examine the quality of the cloud 27 representation for a set of synoptic states derived from ERA5 surface winds. The vari-28 ability associated with grouping cloud occurrence based on synoptic state is much larger 29 than the seasonal variation, highlighting synoptic state is a strong control of cloud oc-30 currence. All the reanalyses continue to display underestimates below 3km and overes-31 timates above 3km for each synoptic state. But, the variability in ERA5 statistics matches 32 the changes in the observations better than the other reanalyses. We also use a machine 33 learning scheme to estimate the quantity of super-cooled liquid water cloud from the ceilome-34 ter observations. Ceilometer low-level super-cooled liquid water cloud occurrences are 35 considerably larger than values derived from the reanalyses, further highlighting the poor 36 representation of low-level clouds in the reanalyses. 37

### <sup>38</sup> Plain Language Summary

This study compares cloud observations from a CL51 ceilometer at Scott Base, Antarc-39 tica, with data from three weather reanalyses: ERA5, JRA55, and MERRA2. We used 40 a lidar simulator to better match the reanalyses data with the ceilometer's measurements. 41 The reanalyses slightly overestimate cloud presence above 3 km but significantly under-42 estimate it below 3 km compared to the ceilometer data. Both the observations and re-43 analyses show only small seasonal changes in cloud presence. However, grouping the data 44 by weather patterns shows that these patterns strongly influence cloud presence. The 45 reanalyses still underestimated cloud presence below 3 km and overestimated it above 46 3 km for all weather patterns, but ERA5 data matched the observed changes better than 47 the other reanalyses. We also used machine learning to estimate the amount of super-48 cooled liquid water clouds from the ceilometer data. The ceilometer detected many more 49 low-level super-cooled liquid water clouds than the reanalyses simulations, highlighting 50 that issues with the representation of low-level clouds in these models are widespread. 51

#### 52 1 Introduction

Clouds are fundamental to the Earth's energy balance, influencing surface temper-53 ature by reflecting solar radiation, trapping and emitting infrared radiation. But, com-54 parisons between observations and simulations reveal significant biases in the represen-55 tation of clouds. In particular, large biases were identified over high latitudes in the Cou-56 pled Model Intercomparison Project phase 3 (CMIP3) models (Trenberth & Fasullo, 2010). 57 Subsequent work has made improvements in the simulation of clouds and their proper-58 ties, but biases are still large and can contain compensating errors which can hide bi-59 ases (Schuddeboom & McDonald, 2021; Kuma et al., 2023). Identifying biases' sources 60 is crucial, with previous studies identifying that both insufficient cloud cover and prob-61 lems with the quantity of super-cooled water clouds simulated contribute to biases. The 62 latter issue is a problem because liquid water cloud reflects more shortwave radiation than 63 ice clouds containing the same amount of water (Vergara-Temprado et al., 2018). In par-64 ticular, models often struggle to simulate super-cooled liquid water clouds accurately lead-65 ing to significant shortwave radiation biases (Bodas-Salcedo et al., 2016; Kay et al., 2016; 66

Kuma et al., 2020). Unfortunately, these clouds which occur between the  $0^{\circ}$ C isotherm 67 and -38°C isotherm, used to represent the homogeneous freezing level, are very common 68 over the Southern Hemisphere (Hogan et al., 2004), the Southern Ocean (Bodas-Salcedo 69 et al., 2016; Kuma et al., 2020) and Antarctica (Listowski et al., 2019). For example, Listowski 70 et al. (2019) identified that the fraction of super-cooled liquid-water containing cloud (SLCC) 71 was of the order of 0-35% over the Antarctic continent. These issues are important be-72 cause Zelinka et al. (2020) highlighted that changes in the global Effective Climate Sen-73 sitivity (ECS) between CMIP phase 5 and 6 models could largely be attributed to changes 74 in the representation of extra-tropical Southern hemisphere clouds. 75

Observational data on cloud properties at Southern high latitude sites is thus an 76 important constraint on ECS and the representation of clouds. Satellite observations of-77 fer the most spatially complete constraints for models and also often provide the longest 78 records above the Southern Ocean and Antarctica. They also have a relatively long his-79 tory of usage as detailed in Lachlan-Cope (2010) and Bromwich et al. (2012). Satellite 80 data has provided valuable insights on cloud cover, cloud phase, seasonality and the ver-81 tical distribution of clouds across the Antarctic continent (Verlinden et al., 2011; Bromwich 82 et al., 2012; Adhikari et al., 2012). However, they do have a number of limitations. In 83 particular, passive satellite sensors face challenges in cloud identification due to the sim-84 ilarity of the properties of snow- and ice-covered ground to low-level cloud (Frey et al., 85 2008). Additionally, low-level cloud layers and cloud base height observations by satel-86 lite instruments are severely limited by the presence of an almost continuous cloud cover 87 in the Southern Ocean which acts to obscure these clouds. Additionally, passive satel-88 lite datasets, such as the Moderate Resolution Imaging Spectroradiometer (MODIS; (Platnick 89 et al., 2003)) dataset and the data used in the International Satellite Cloud Climatol-90 ogy Project (ISCCP; (Rossow & Schiffer, 1999)) generally only observe radiation scat-91 tered or emitted from cloud top of optically thick clouds. Therefore, these satellites are 92 not suitable for resolving the full vertical profile of clouds in some cases. 93

These issues are partially mitigated by active satellite instruments, such as the Cloud-94 Sat Cloud Profiling Radar (CPR) (Stephens et al., 2008) and the Cloud-Aerosol Lidar 95 with Orthogonal Polarization (CALIOP) instrument on the Cloud-Aerosol Lidar and In-96 frared Pathfinder Satellite Observations (CALIPSO) satellite (Winker et al., 2009). But, 97 even these instruments have limitations. For example, the CPR is affected by ground 98 clutter below 1.2 km (Marchand et al., 2008) while the CALIOP lidar signal is atten-99 uated by optically thick cloud. Given the high occurrence of low-level cloud in the South-100 ern Ocean (Haynes et al., 2011), this factor has been studied to examine the level of under-101 estimation (Alexander & Protat, 2018; McErlich et al., 2021). McErlich et al. (2021) com-102 pared two sets of satellite derived cloud products, developed from a combination of CPR 103 and CALIOP data, against ground-based observations made at McMurdo station, Antarc-104 tica, collected during the Atmospheric Radiation Measurement (ARM) West Antarctic 105 Radiation Experiment (AWARE) campaign (Lubin et al., 2020). They highlighted that 106 active satellite sensors underestimate low-level cloud relative to surface observations. 107

In particular, McErlich et al. (2021) showed that both the 2B-CLDCLASS-LIDAR 108 R05 (2BCL5) (Sassen et al., 2008) and raDAR/liDAR (DARDAR) (Delanoe & Hogan, 109 2010) data products underestimate cloud occurrence below 1.5 km relative to surface ob-110 servations, with both products distinguishing roughly one third of co-located cloud oc-111 currences observed by AWARE at 0.5 km. Over the Arctic and Antarctic, Silber et al. 112 (2021) also found that differences in instrument sensitivity and detection algorithms can 113 reduce spaceborne estimates of cloud and surface precipitation occurrence frequency by 114 more than 50% relative to surface measurements. More widely, Liu et al. (2016) iden-115 tified that the CPR experiences contamination in the lowest 1 km due to ground clut-116 ter that hinders detection of low marine clouds, inducing an underestimation of up to 117 39% over the oceans. Other parameters are also known to be affected by signal atten-118 uation due to low-level clouds and ground clutter, for example biases exist in satellite-119

based observations of radiation (Pei et al., 2023) when compared to Southern Ocean sur face observations.

Surface and airborne observations over Antarctica and the Southern Ocean are thus 122 of high value and provide a complement to satellite observations. But, observational cam-123 paigns in the Southern Ocean (Kremser et al., 2021; McFarquhar et al., 2021; Sellegri 124 et al., 2023) and around Antarctica (Scott & Lubin, 2014, 2016; Lubin et al., 2020) are 125 challenging, costly, and therefore rare (Lachlan-Cope, 2010). Surface observations of all 126 types also have their own limitations. For example, the lidar signal from surface obser-127 vations can be attenuated by optically thick low-level cloud which means that the oc-128 currence of high level clouds will be underestimated relative to satellite observations (McErlich 129 et al., 2021). This can also influence integrated quantities, such as cloud fraction, with 130 Listowski et al. (2019) identifying that ceilometer observations of cloud fraction were sig-131 nificantly lower than corresponding values from the DARDAR product over Antarctica. 132

This study compares cloud data from a Vaisala Cl51 ceilometer at Scott Base, Antarc-133 tica, with sets of data from three reanalyses after the application of an instrument sim-134 ulator (Kuma et al., 2021). By simulating cloud properties which account for instrumen-135 tal sensitivies, instrument simulators allow a direct quantitative comparison of cloud prop-136 erties across diverse numerical models with observations which allows a like for like com-137 parison. The use of instrument simulators alleviates some of the issues detailed in Silber 138 et al. (2021). This analysis complements previous work in the region which has directly 139 compared model output with observations. For example, a comparative analysis between 140 observational data from McMurdo Station, Antarctica, and the Community Atmosphere 141 Model version 6 (CAM6) simulations was detailed in Yip et al. (2021). They found that 142 the CAM6 simulation consistently overestimates (underestimates) cloud occurrence above 143 (below) 3 km in every season of the year. However, the effect of instrument sensitives 144 was not considered in that work. Previous work detailed in Kuma et al. (2020) compared 145 ceilometer observations against nudged output from the Global Atmosphere (GA) ver-146 sion 7.1 of the HadGEM3 GCM and MERRA2 reanalysis output processed using an in-147 strument simulator over the Southern Ocean collected across a set of 5 voyages and high-148 lighted the value of using instrument simulators. Notably they found that both the GA7.1 149 and MERRA2 underestimate low cloud and fog occurrence relative to the ship obser-150 vations by 4-9% for GA7.1 and 18% for MERRA2. 151

<sup>152</sup> 2 Data and Methodology

Observations from a Vaisala CL51 ceilometer operating at a wavelength of 910 nm 153 (near infrared) deployed at Scott Base (77.8°S, 166.7°E) between February 2022 and De-154 cember 2023 are used in this study. This wavelength is characterised by relatively low 155 molecular backscattering, but is affected by water vapour absorption (Wiegner & Gasteiger, 156 2015). The maximum range of the instrument is 15.4 km, with a sampling rate of 6 s 157 and a vertical resolution of 25 m. This instrument produces data files containing uncal-158 ibrated attenuated volume backscatter coefficients which are converted to NetCDF us-159 ing the cl2nc software. These NetCDF files are then processed with the Automatic Li-160 dar Ceilometer Framework software (Kuma et al., 2021) detailed in Section 2.1. 161

The present study uses outputs from three reanalyses, ECMWF Reanalysis 5 (ERA5) 162 (Hersbach et al., 2020), Japanese 55-year Reanalysis (JRA55) (Kobayashi et al., 2015) 163 and Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA2) 164 (Gelaro et al., 2017). ERA5 is the fifth-generation ECMWF reanalysis model (Hersbach 165 et al., 2020). The cloud and large-scale precipitation processes are described in ERA5 166 by prognostic equations for cloud water and ice, rain, snow, and cloud fraction. The model 167 considers various sources and sinks of all cloud variables, and provides better physical 168 representation of super-cooled liquid water and mixed-phase clouds relative to ERA-Interim. 169

We also use the Japanese 55-year Reanalysis (JRA55), this reanalysis extends for 170 a 55 year period starting from 1958, when regular radiosonde observations became op-171 erational globally. Details about JRA55 are detailed in Kobayashi et al. (2015). This study 172 also uses data from the Modern-Era Retrospective analysis for Research and Applica-173 tions (MERRA2) reanalysis (Gelaro et al., 2017). We used the 3-hourly instantaneous 174 assimilated meteorological fields (inst3\_3d\_asm\_Nv (M2I3NVASM)), to generate simu-175 lated ceilometer profiles using ALCF. The four-dimensional MERRA2 fields were pro-176 vided on pressure and model levels. The analysed time period of all three reanalyses datasets 177 was between 14th February 2022 and 31st December 2023 unless otherwise stated. 178

We also used data from the Antarctic Mesoscale Prediction System (AMPS), which is an operational forecasting system which uses a version of the Weather Research and Forecasting (WRF) model modified for polar regions (Powers et al., 2012). This study examines forecast output from the Polar WRF version 24 documented online and examines output from AMPS Domain 3.

That domain covers a  $1802 \times 2766$  km area at 2.67 km horizontal resolution and 184 spans the Ross Sea, the Ross Ice Shelf, and the South Pole. AMPS archive data only in-185 cludes 17 vertical levels with forecasts issued at midday and midnight UTC. Hourly fore-186 casts are utilised in this study. For reference, AMPS obtains initial and boundary con-187 ditions from NCEP GFS model output. While near-real-time ice and snow extent (NISE) 188 data provide input sea ice concentration (SIC) values (Brodzik & Stewart., 2016). Un-189 fortunately, the polar WRF model configuration used in the AMPS operational system 190 is changed as improvements become available and these changes are not logged. The Po-191 lar WRF output available from the AMPS operational system is therefore not a strong 192 focus of this study. 193

Instead, we focus on examining the cloud representation in the three reanalyses avail-194 able. An intercomparison of the wind field for each of these reanalyses over the Ross Ice 195 Shelf region is detailed in McDonald and Cairns (2020) and highlighted that these prod-196 ucts were broadly consistent with each other during the satellite period. However, to our 197 knowledge no study examining the quality of multiple reanalyses cloud representation 198 has occurred over Antarctica. The present study aims to fill this gap by comparing re-199 analyses output with ceilometer data. Ceilometers can provide valuable information on 200 cloud and aerosols, but have not been widely used in the evaluation of climate models, 201 reanalyses and numerical weather prediction models. This is partially related to the wide range of ceilometer instruments, a lack of standardised calibration and the difficulty in 203 directly comparing observations with model outputs. The ALCF software allows the cal-204 ibration of ceilometer data and the application of its instrument simulator to model out-205 puts removes much of this uncertainty. 206

#### 2.1 ALCF

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This study uses the Automatic Lidar and Ceilometer Framework (ALCF) tool which was first used in Kuma et al. (2020) and was subsequently described in more detail in Kuma et al. (2021). ALCF provides a framework for converting ceilometer data from different manufacturers into a common format, calibrates the backscatter data, resamples data, and also completes a noise removal and cloud detection process.

ALCF also includes a ground-based lidar simulator, which calculates the radiative 213 transfer of laser radiation and allows one-to-one comparison between models and obser-214 vations. The ALCF ground-based lidar simulator is a development of the CFMIP Ob-215 216 servation Simulator Package (COSP) (Bodas-Salcedo et al., 2011), a set of instrument simulators developed by the Cloud Feedback Model Intercomparison Project (CFMIP). 217 COSP was originally developed as a satellite simulator package whose aim is to produce 218 virtual satellite (and more recently ground-based) observations from atmospheric model 219 fields in order to improve comparisons of model output with observations (Bodas-Salcedo 220

et al., 2011). This approach is required because physical quantities derived from satel-221 lite observations generally do not directly correspond to model fields. ALCF developed 222 a ground-based lidar simulator by modifying the COSP Active Remote Sensing Simu-223 lator (Chiriaco et al., 2006). This extension produces virtual backscatter measurements 224 from model fields. Resampling, noise reduction and cloud detection were also performed 225 on observational and derived model lidar output in a consistent way to reduce structural 226 uncertainty. We used the ALCF software to create calibration coefficients for the CL51 227 ceilometer using the methodology detailed in O'Connor et al. (2004) rather than using 228 the default CL51 calibration available within the package. ALCF developments required 229 reversing the vertical layers, as the surface lidar looks from the surface up rather than 230 down from space to the surface, and changing the radiation wavelength affecting Mie scat-231 tering by cloud droplets and Rayleigh scattering by air molecules. We only present a brief 232 description of the surface lidar simulator and instead encourage interested readers to ex-233 amine Kuma et al. (2021). 234

The recently introduced COSP version 2 (Swales et al., 2018) added support for a surface lidar simulator, although we believe that ALCF, developed before COSPv2 was available, is more complete in the present context due to its treatment of Mie scattering at wavelengths other than 532 nm (the wavelength of the CALIOP lidar). It also adds a more detailed simulation of ice crystal optical properties. The surface lidar simulator takes model cloud liquid and ice mixing ratios, cloud fraction and thermodynamic profiles as the input, and calculates vertical profiles of attenuated backscatter.

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#### 2.2 Super-cooled cloud detection

Guyot et al. (2022) developed an algorithm to detect super-cooled liquid water con-243 taining clouds (SLCC) based on the co-polarization backscatter measured by ceilome-244 ters using observations from a training dataset collected at Davis station, Antarctica. 245 This classification model used an extreme gradient boosting (XGBoost) framework in-246 gesting backscatter data with an accuracy as high as 0.91. More recently the same frame-247 work has also been applied with modifications over mid-latitudes by Whitehead et al. 248 (2023), the modifications being necessary because regions which also include warm liq-249 uid cloud impact the accuracy of the Guyot et al. (2022) scheme outside the polar en-250 vironment. This study applies the Guyot et al. (2022) classification scheme to ceilome-251 ter backscatter measurements made at Scott Base. We note that a validation of the Guvot 252 et al. (2022) scheme is not possible without reference data. But, visual inspection ini-253 tially identified poor classification results when the Guyot et al. (2022) scheme was ap-254 plied to Scott Base data using the default ALCF calibration coefficient for a CL51 ceilome-255 ter. However, after using the O'Connor et al. (2004) methodology to calibrate the ceilome-256 ter the scheme worked well based on visual inspection, with perhaps some periods where 257 SLCC is under reported. We thus detail the results of the application of the Guyot et 258 al. (2022) classification scheme to the CL51 backscatter data in this paper. 259

#### 2.3 Synoptic typing

Jolly et al. (2018) has suggested that cloud occurrence over the Ross Ice Shelf is strongly impacted by synoptic state and this variation is significantly larger than the observed seasonal cycle. We therefore create a set of synoptic states using a similar methodology to that used in McDonald and Cairns (2020). In particular, our synoptic types are derived using the Self-Organizing Map (SOM) technique applied to ERA5 10m wind speeds between 1979 and 2023 to derive representative surface wind patterns.

SOMs are an iterative unsupervised learning scheme commonly used in clustering
 (Kohonen, 1990). The learning process adjusts a set of reference vectors based on the
 differences between the reference vector and each input record. A learning rate determines how the adjustment is related to the difference between the reference vector and

the input data measured by the Euclidean distance metric. Training then entails adjust-271 ing reference vectors iterativly until a set of stable values are reached. The learning rate 272 and width of the kernel are reduced as a function of time such that the SOM evolves rapidly 273 initially. The Euclidean distance is used to identify reference vectors within a certain range 274 of the best matching vector. The vectors that fall within this neighborhood are then up-275 dated which produces the coherent organization of output. During each iteration, the 276 reference vector that best matches the input record is identified and then modified to 277 better reflect the input data. The training process ultimately produces reference vectors 278 that represent the multidimensional input space. 279

Rather than apply the SOM technique directly to all the ERA5 output, we reduce the quantity of input into the SOM by applying an Empirical Orthogonal Function analysis to the space-time cube of the surface winds (both zonal and meridional winds) and then apply the SOM technique to the largest Principal Components (PCs) only. In this study, we truncated the set of PCs when the explained variance was 90% of the total variance of the dataset. In this study, we used the implementation of the SOM methodology available in the mini-SOM python package (Vettigli, 2018).

The usage of the Empirical Orthogonal Functions (EOF) analysis requires anoma-287 lies as inputs and the climatological mean from each latitude/longitude point for the 1979–2023 288 reference period was used to derive anomalies. Our analysis focuses on the geographic 289 domain  $(60-90^{\circ}\text{S}, 140-220^{\circ}\text{E})$  used previously in McDonald and Cairns (2020). We also 290 derived a daily average to reduce the processing requirements for the study. Previous 291 work detailed in Tastula et al. (2013) identified that near-surface wind speed displays 292 low diurnal variability in both observations and in reanalyses products over Antarctica 293 and thus our choice to use daily averages should not impact our results. 294

#### 295 **3 Results**

Figure 1 displays the mean cloud occurrence as a function of altitude derived from 296 the CL51 ceilometer observations, and predictions of cloud occurrence derived with the 297 ALCF surface lidar simulator from input from Polar WRF, ERA5, JRA55 and MERRA2 298 models. These mean values are derived for the period 14th February 2022 to 31st De-299 cember 2023 where both ceilometer and model outputs are available. The maximum in 300 cloud occurrence for the CL51 observations peaks at the surface, but this peak is poten-301 tially contaminated by low-level fog and wind blown snow trapped below the commonly 302 observed low-level inversion layer (Hofer et al., 2021). The backscatter near the surface 303 is also more uncertain because of the overlap function used. Thus, the secondary peak 304 with a value of just over 25% cloud occurrence at approximately 800m above the sur-305 face is likely the true maxima observed by this system. These values of cloud occurrence 306 at this peak are roughly 10% lower than previous surface observations from McMurdo 307 station detailed in Silber et al. (2018). Though, the general form of the vertical profile 308 of cloud occurrence is very similar. The difference may be partially connected to the greater 309 attenuation of the ceilometer signal due to obscuring optically thick clouds compared to 310 those detailed in Silber et al. (2018) which used a more powerful lidar instrument and 311 also included information from a Ka-Band cloud radar. The High Spectral Resolution 312 Lidar (HSRL) is also more sensitive to tenuous cloud. Additionally, the variability from 313 day to day, seasonally and with synoptic types is large based on the ground-based ob-314 servations discussed in Silber et al. (2018) and therefore interannual variability could also 315 partially explain this difference. We also note that the cloud thresholding scheme avail-316 able in the ALCF software likely provides a conservative estimate of cloud occurrence. 317

Satellite observations averaged over the Ross Ice Shelf detailed in Jolly et al. (2018) show peak cloud occurrences between 20 and 30% at approximately 2 km for all seasons which are larger than the peak values observed by the CL51 ceilometer in Figure 1. However, the satellite observations have lower cloud occurrences than the CL51 ceilometer



Figure 1. Mean vertical profiles of cloud occurrence for the period 14th February 2022 to 31st December 2023 derived from CL51 ceilometer observations (black line) and the AMPS (pink line), ERA5 (green line), JRA55 (orange line) and MERRA2 (blue line) model fields after processing using the ALCF ground-based lidar simulator.

values at altitudes below approximately 2 km. This difference is likely due to different instrument sensitivities. In particular, satellite observations of low-level cloud will likely be underestimates, while ground-based observations of upper-level cloud occurrence will be underestimates (McErlich et al., 2021). This comparison highlights the important of different instrument sensitivities. Comparison between model properties and observations which do not account for instrument sensitivities can thus bias model evaluations.

Comparison between the CL51 observations and the ERA5, JRA55 and MERRA2 328 cloud occurrence profiles derived using ALCF displayed in Figure 1 show low biased val-329 330 ues relative to the CL51 observations of cloud occurrence for altitudes below 3 km and high biased cloud occurrences in ERA5, JRA55, and MERRA2 above that altitude. The 331 Polar WRF cloud occurrence values derived from ALCF are slightly higher than the CL51 332 observations above 1 km and lower than the CL51 observations below 1 km. But, com-333 pared to the three reanalyses display very good correspondence with the CL51 ceilomter 334 observations. Unfortunately, the Polar WRF simulations used are derived from the op-335 erational Antarctic Mesoscale Prediction System and the configuration of the Polar WRF 336 changes multiple times during this study. We therefore limit the use of this dataset in 337 later analysis and focus on the three reanalyses. However, the fact that a numerical model 338 which includes tuning for the polar environment displays such a significant improvement 339 relative to the reanalyses is notable. 340

Work detailed in Yip et al. (2021) compared the same AWARE observations as used 341 in Silber et al. (2018) with CAM6 model data. The CAM6 simulations examined in Yip 342 et al. (2021) were nudged toward MERRA2 reanalyses temperature and wind fields. Sim-343 ilar to our results, they identified sizable overestimates (underestimates) of cloud occur-344 rence above (below) 3 km in the model. We also note that the general form of the ver-345 tical profile of cloud occurrence in MERRA2 displayed in Figure 1 is rather similar to 346 the CAM6 equivalent, though the CAM6 cloud occurrence is roughly 15% greater than 347 the corresponding MERRA2 values at the same altitude. This is likely associated with 348 changes in the comparison process due to the use of an instrument simulator in this study. 349

Yip et al. (2021) also identified that cloud occurrence biases were closely associ-350 ated with concurrent biases in relative humidity in the CAM6 model. With high rela-351 tive humidity biases between the CAM6 data and observations above 2 km and low rel-352 ative humidity biases below 2 km. To test whether this may also be a controlling fac-353 tor for the three reanalyses, we compare the relative humidity from the reanalyses with 354 radiosonde observations launched from the nearby (less than 3 km separation) McMurdo 355 station. Figure 2 displays the median and interquartile ranges of the difference between 356 radiosonde observations and the three reanalyses (model-observation). The difference 357 between the McMurdo radiosonde relative humidity and the ERA5 values, shown in Fig-358 ure 2 (a), display overestimates of the relative humidity below 2 km, a region of under-359 estimates between 2 and 4.5 km and larger overestimates above this altitude. A simi-360 lar pattern of bias between the observations and the JRA55 reanalyses relative humid-361 ity is displayed in Figure 2 (b), though the biases are larger than those from the ERA5 362 dataset apart from at around 9 km. The MERRA2 observations display the same struc-363 ture of bias as ERA5 and JRA55 relative to the radiosonde observations. 364

Figure 3 (a)-(d) displays vertical profiles of the median and interquartile range cloud 365 occurrence for each season from the ceilometer observations and the three reanalyses. 366 Examination of the CL51 observations shows the largest cloud occurrences and the largest 367 range of values occurs in austral autumn (MAM) and winter (JJA) and lower values o 368 fthe cloud occurrence and interquartile range in austral spring (SON) and summer (DJF). 369 370 In particular, the median cloud occurrence is up to 25% in austral autumn and winter, but below 20% in austral spring and summer. However, in every season the largest cloud 371 occurrences are observed in the 2 km directly above the surface in the Cl51 observations 372 and cloud occurrence reduces relatively quickly between 2 and 4 km to only a few per-373 cent above 4 km in all seasons. The reanalyses results display marginally higher values 374



**Figure 2.** Median and interquartile ranges of the difference between radiosonde observations and reanalyses values of relative humidity for the ERA5 (a), JRA55 (b) and MERRA2 (c) reanalyses.

in austral spring, but small seasonal variations. It is also relatively clear that cloud occurrences are lower in the JRA55 reanalysis than the other two reanalyses which show
more comparable results. Though, as previously seen in Figure 1 the MERRA2 model
output has higher cloud occurrences at higher altitudes than the ERA5 values.

The biases between the reanalyses and the CL51 ceilometer observations (model 379 - observations) are shown in Figure 3 (e)-(h) for each season. In each season, a large un-380 derestimate (15-30%) in cloud occurrence is observed for all three reanalyses below 3 km 381 with a smaller overestimate in cloud occurrence in the reanalyses above 3 km. Interest-382 ingly, the bias at low altitudes is comparable to the values identified by Yip et al. (2021) 383 and Kuma et al. (2021). The ERA5 reanalysis displays the smallest biases of the three 384 reanalyses at most altitudes in most seasons. The JRA55 reanalysis displays the largest 385 underestimates below 3 km in all seasons and the MERRA2 reanalysis has the largest 386 overestimates relative to the CL51 observations above 3 km in all seasons. Closer inspec-387 tion of Figure 3 (e)-(h) shows variations in the bias with season, with the largest under-388 estimates below 3 km in austral autumn and winter and the smallest underestimates in 389 austral spring and summer. An examination of the altitudes where the reanalyses over-390 estimate cloud occurrence also shows that the austral spring displays the largest over-391 estimates, which reach 10% in MERRA2. Notably the magnitudes of the underestimated 392 and overestimated values are more similar in Yip et al. (2021) than in this study. This 303 difference can likely be explained by the use of an instrument simulator in this study which 394 allows a more robust comparison between the observations and the model output. Ef-395 fectively, the low cloud occurrences in the observations at higher altitudes are likely im-396 pacted by instrument sensitivity which means that they are likely low biased estimates. 397

The median and interquartile ranges for cloud fraction, which is defined in this study as the temporal average cloud occurrence independent of altitude, as a function of month are displayed in Figure 4. A quite small variation in the median cloud fraction between months is observed for both the CL51 observations and the three reanalyses. In particular, for the CL51 observations and for the three reanalyses the interquartile range in



Figure 3. Median and interquartile ranges of cloud occurrence vertical profiles derived from CL51 ceilometer observations (black line), ERA5 (green line), JRA55 (orange line) and MERRA2 (blue line) model fields after processing using the ALCF ground-based lidar simulator are displayed for austral summer (a), autumn (b), winter (c) and spring (d). The model bias (model minus observation means) is also shown for austral summer (e), autumn (f), winter (g) and spring (h).



**Figure 4.** Median and interquartile ranges of cloud fraction each month derived from CL51 ceilometer observations (black line), ERA5 (green line), JRA55 (orange line) and MERRA2 (blue line) model fields after processing using the ALCF ground-based lidar simulator.

any month usually contains the range of median values for all months. However, comparison of the median cloud fraction between the CL51 observations and the three reanalyses very clearly shows large offsets. In particular, the median values of cloud fraction for the CL51 observations are between 62-92%, the ERA5 values are between 27-63%, the JRA55 values are between 10-22% and the MERRA2 values are 32-62%. It is thus very clear that all three reanalyses underestimate cloud fraction, though the underestimate is particularly large for the JRA55 reanalyses.

#### 3.1 Synoptic classification

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The relatively small variation between the different seasons observed in Figure 3 411 and 4 has previously been identified in other studies. In particular, Jolly et al. (2018) 412 and Silber et al. (2018) identified that the synoptic situation has a much larger impact 413 on vertical cloud distributions in this region than seasonal variability. We therefore com-414 plete a synoptic classification over the Ross Sea region, this allows the CL51 ceilometer 415 data collected from Scott Base between February 2022 and January 2024 to be categorised 416 based on synoptic state. The method used to complete this synoptic classification is de-417 tailed in Section 2.3. The surface horizontal wind vectors and wind speeds associated 418 with each synoptic state are shown Figure 5 over the Ross Sea region. This classifica-419 tion is used to group the corresponding data from the CL51 observations and the out-420 put of the ALCF lidar simulator output derived from the three reanalyses in Figure 6. 421

<sup>422</sup> A  $3 \times 3$  SOM (3 columns and 3 rows) was selected for our classification because <sup>423</sup> it minimized quantization error and represented a good balance in terms of representa-<sup>424</sup> tion of the wind patterns over the region. The set of nodes from this reference period <sup>425</sup> are used throughout this study for grouping the ceilometer data collected and also to group <sup>426</sup> the corresponding data from the ALCF lidar simulator (Kuma et al., 2021) derived from <sup>427</sup> the ERA5, JRA55 and MERRA2 models.

The different wind patterns in Figure 5 are dominated by southerly winds in all of the nodes derived, except for node 2. But, the magnitude of the wind changes significantly. For example, nodes 2 and 5 display weak winds directly over Ross Island, the



Figure 5. Near-surface (10m) horizontal wind speeds and directions for each of the 9 nodes in the SOM derived from ERA5 reanalysis output for the period 1980–2024.

site of Scott Base and the ceilometer, while node 1 displays rather strong winds directly
to the east of Ross Island. We also note that the nodes at the opposite corners of the
SOM (node 2 and 6) display the largest difference in terms of wind magnitudes. Node
2 is also dominated by westerly winds.

Figure 6 displays vertical profiles of cloud occurrence for the CL51 observations and 435 the results of the application of the ALCF instrument simulator to the three reanaly-436 ses grouped based on the synoptic conditions displayed in Figure 5. Examination of the 437 CL51 cloud occurrence patterns shows significantly larger variability between synoptic 438 states (Figure 6) than for different seasons (Figure 3). In particular, nodes 1 and 4 dis-439 play maximum cloud occurrences above 40% at altitudes below 2 km. Though, the cloud 440 occurrence begins to fall from around 50% from 1 km. While the lowest CL51 cloud oc-441 currences are observed in node 2 and 5. We also note that high cloud occurrences are 442 very close to the surface in nodes 6 and 7 which potentially suggests the presence of wind 443 blown snow, fog or low-level temperature inversions in these synoptic situations. 444

Vertical profiles of the cloud occurrence derived from the ERA5 reanalysis show higher values for node 1 and 4 close to 2 km and lower values in node 2 and 5 in Fig<sup>447</sup> ure 6. These patterns match closely with the CL51 ceilometer observations for these synoptic situations above 2 km. However, the cloud occurrence is underestimated for all nodes
<sup>449</sup> below 2 km. Additionally, nodes 6, 7 and 8 display substantial overestimates in a rel<sup>450</sup> ative sense for cloud occurrence above 2 km.

Vertical profiles of the cloud occurrence derived from the JRA55 and MERRA2 re-451 analyses also show higher values for node 1 and 4 and lower values in node 2 and 5 in 452 Figure 6. However, these patterns match much less closely with the CL51 ceilometer ob-453 servations than the ERA5 values. The patterns are quite consistent between the JRA55 454 455 and MERRA2 simulation results, though notably the MERRA2 cloud occurrences are higher at nearly every altitude in every node than the corresponding JRA55 values. Ad-456 ditionally, for nodes 3, 6, 7 and 8 the JRA55 and MERRA2 values display substantially 457 overestimated cloud occurrence above 2 km relative to the CL51 ceilometer observations. 458 These overestimates are also significantly larger than those observed between ERA5 and 459 the CL51 ceilometer observations. However, the cloud occurrence is underestimated for 460 all nodes below 2 km. 461

3.2 Cloud phase analysis

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To obtain more information from the ceilometer observations we apply the XGBoost 463 algorithm detailed in Guyot et al. (2022) to derive the fraction of cloud that is associ-464 ated with super-cooled liquid water. Figure 7 (a) displays the attenuated volume backscat-465 ter coefficient data over Scott Base for the 27th March 2022. On this day, a narrow band 466 of low-altitude (< 1 km) multi-layer cloud occurs between 00:00 and 09:00 UTC, while 467 a thicker band of cloud is present at altitudes ranging from 1-3 km between 15:00 and 23:00 UTC. The cloud classification displayed in Figure 7 displays thin ice cloud layers 469 close to the surface between 00:00 and 09:00 UTC capped by strongly attenuating super-470 cooled cloud layers. The thicker cloud layer between 15:00 and 23:00 UTC either has an 471 ice cloud or undefined classification. The presence of a small amount of super-cooled liq-472 uid cloud within that thicker layer may suggest the presence of mixed phase cloud or may 473 be a classification error. The lack of depolarisation data from the CL51 ceilometer means 474 that we can not validate the Guyot et al. (2022) scheme. But, visual inspection does sug-475 gest that the strongly attenuating cloud layer between 00:00 and 09:00 UTC is correctly 476 classified as super-cooled liquid cloud. Though, the lack of continuity in the backscat-477 ter coefficient data for that layer suggests that any estimates super-cooled liquid water 478 cloud pressence are likely to be conservative. This also tallies with results from Guyot 479 et al. (2022) which came to the same conclusion. We also note the relatively high pro-480 portion of undefined clouds in this case, which means that these peaks in the attenuated 481 volume backscatter coefficient can not be classified as either ice or super-cooled liquid 482 water. This is potentially due to the presence of mixed phase cloud in our observations. 483 We also reiterate that the classification scheme detailed in Guyot et al. (2022) does ap-484 pear to be sensitive to the calibration factors applied to the data. We thus advise future 485 users to complete calibration using the O'Connor et al. (2004) or Hopkin et al. (2019) 486 scheme rather than using default values from ALCF. 487

The application of the Guyot et al. (2022) XGBoost scheme allows us to derive the 488 fraction of cloud peaks classified as super-cooled liquid water relative to other classes (the 489 combination of ice and undefined). The mean fraction of super-cooled liquid water cloud 490 as a function of altitude can then be derived from the CL51 ceilometer observations be-491 tween 14th February 2022 to 31st December 2023. Figure 8 (a) displays vertical pro-492 files of the occurrence of super-cooled liquid water cloud and all cloud. We note that the 493 super-cooled liquid water cloud fraction remains relatively constant between 0.5 to 2.5 494 km at an occurrence rate of 5%, then rapidly declines to near zero values above 4 km. 495 The form of this vertical profile is very similar to that previously displayed in Silber et 496 al. (2018) with near constant values between 0.5 and 3 km. Though, we note that the 497 cloud occurrence is again lower than that identified in Silber et al. (2018). We again be-498



Figure 6. Mean vertical profiles of cloud occurrence for each synoptic state for CL51 ceilometer observations (black), ERA5 (green), JRA55 (orange), and MERRA2 (blue) model fields.



Figure 7. Cl51 ceilometer attenuated volume backscatter coefficient data over (a), and the (Guyot et al., 2022) cloud mask (b) for 27th March 2022. AMPS air temperature contours are overlaid in (a) for reference.

lieve that this is associated with differences in the capability of the HSRL used in Silber
 et al. (2018) and the CL51 ceilometer observations used in the present study.

Figure 8 (b)-(e) display the mean cloud occurrence and the super-cooled liquid wa-501 ter cloud fraction for each season. The mean cloud occurrence displays similar patterns 502 to the median values previously shown in Figure 3 as expected. Comparison of the super-503 cooled liquid water cloud occurrences between the seasons shows the highest super-cooled 504 liquid water fractions in austral summer (Figure 8 (b)) and the lowest values in the aus-505 tral winter (Figure 8 (d)). Thus, while the vertical profile of cloud occurrence is strongly 506 defined by synoptic state (see Figure 6), cloud phase is strongly controlled by season. This 507 likely reflects variations in the the occurrence of temperatures between the  $0^{\circ}C$  isotherm 508 and the homogeneous freezing level  $(-38^{\circ}C)$  with season. 509

The Guyot et al. (2022) XGBoost scheme requires information on the width of cloud 510 peaks. In particular, super-cooled liquid water cloud is partially identified by narrow peaks 511 in the vertical profiles of attenuated volume backscatter coefficient. The low vertical res-512 olution of the reanalysis and their varying vertical resolution with altitude precludes the 513 use of the scheme as derived in Guyot et al. (2022) on this model output. Instead we ap-514 ply the simple scheme detailed in Desai et al. (2023) in which cloud phase is defined us-515 ing the ice mass fraction ( $\mu_{ice}$ ). The ice mass fraction is shown in Equation 1 and is ob-516 tained by taking the ratio of the ice water content (IWC) to the total water content. Desai 517 et al. (2023) classified grid points where  $\mu_{ice} > 0.9$  as ice,  $0.1 \leq \mu_{ice} \leq 0.9$  as mixed 518 phase, and  $\mu_{ice} < 0.1$  as liquid phase. 519

$$\mu_{ice} = \frac{\text{IWC}}{\text{IWC} + \text{LWC}} \tag{1}$$



Figure 8. Mean vertical profiles of cloud occurrence averaged over the observational period (a) and austral summer (b), spring (c), winter (d) and autumn (e) derived from CL51 ceilometer observations (black line) and the corresponding super-cooled liquid water occurrence (black dotted line).

Figure 9 (a)-(c) displays vertical profiles of the mean ice water fraction for the ERA5, 520 JRA55 and MERRA2 reanalysis data at Scott Base derived between 2022 and 2023 in-521 clusive. The ice water fraction is near one for ERA5 everywhere apart from the lowest 522 2 km of the atmosphere (see Figure 9 (a)) which suggests that nearly all the cloud iden-523 tified would be ice cloud based on the Desai et al. (2023) scheme. The ice water frac-524 tion is even larger for the JRA55 reanalysis with only the lowest altitude displaying a 525 value which would be connected to mixed phase cloud. Interestingly, the MERRA2 re-526 analysis shows much smaller ice cloud fraction values than ERA5 and JRA55, with val-527 ues between 0.1 and 1.0 between the surface and 6 km, above which the mean value is 528 one. 529

Figure 9 (d)-(f) display the total cloud occurrence taken directly from the reanal-530 yses, and the cloud occurrence associated with ice and liquid water derived using the Desai 531 et al. (2023) scheme. Comparison between the total cloud occurrence in Figure 9 (d)-532 (f) and Figure 1 allows the effect of the instrument simulator to be examined. Compar-533 ison between Figure 9 (d) and Figure 1 shows that the raw ERA5 cloud occurrences are 534 around 4-5% higher than those derived after the application of the instrument simula-535 tor. This difference likely represents the impact of attenuation by low-level cloud and 536 instrument sensitivity affects meaning that tenuous clouds will not meet the backscat-537 ter threshold used in the cloud detection scheme. Comparison between Figure 9 (e) and 538 Figure 1 shows that the raw JRA55 cloud occurrences have marginally higher values than 539 those derived via the instrument simulator, the small difference may be associated with 540 the small quantity of low-level cloud in the JRA55 simulation. Finally, inspection of Fig-541 ure 9 (f) and Figure 1 shows a sizable difference between the raw MERRA2 cloud oc-542 currences and those derived from the instrument simulator. The difference is particu-543 larly large above 2 km, again likely due to instrument sensitivity factors and the sim-544 ulation of the effect of attenuating low-level clouds. This comparison demonstrates the 545 value of the use of instrument simulators in the evaluation of model output. 546

We now focus on the occurrence of ice and liquid water cloud, as identified by the Desai et al. (2023) scheme, in Figure 9 (d)-(f). Notably ERA5 and JRA55 display such small quantities of liquid water that the occurrence of that cloud is barely visible relative to the zero occurrence line. Interestingly, MERRA2 displays liquid water occurrences up to approximately 2.5% below 2 km. However, these values are still considerably smaller



Figure 9. Mean vertical profiles of the ice water faction ( $\mu_{ice}$ ) for the ERA5 (a), JRA55 (b) and MERRA2 (c) reanalysis. Mean vertical profiles of the cloud occurrence (full line) from the ERA5 (d), JRA55 (e) and MERRA2 (f) reanalysis. The cloud occurrence associated with ice (dashed line), liquid (dotted line) water derived from the analysis of  $\mu_{ice}$  using the methodology detailed in Desai et al. (2023), as well at the total cloud occurrence (full line) are presented in (d) through (f). Note that cloud occurrences have not been derived from data processed using the ALCF lidar simulator.

than the occurrence of super-cooled liquid cloud in Figure 8, though the fraction of liq-552 uid water to the total cloud occurrence is larger than that in Figure 8. Comparison of 553 the ice water cloud occurrence (dashed line) and total cloud occurrence in Figure 9 (d) 554 show that some mixed phase cloud, as identified by the Desai et al. (2023) scheme, ex-555 ists at altitudes below 4 km in the ERA5 dataset. Similar comparison for Figure 9 (e) 556 shows a very small of mixed phase cloud exists below 2 km in the JRA55 reanalysis. Fi-557 nally, the difference between the ice cloud occurrence line and the total cloud occurrence 558 line in Figure 9 shows that mixed phase cloud makes up the majority of the cloud ob-559 served between approximately 2 and 5 km in the MERRA2 reanalysis. 560

#### <sup>561</sup> 4 Conclusions and Discussion

This paper has principally detailed an analysis of CL51 ceilometer observations rel-562 ative to ERA5, JRA55 and MERRA2 model output that has been processed using an 563 instrument simulator. The application of the instrument simulator to the reanalyses output allows the derivation of pseudo-backscatter profiles, which in turn can be processed 565 using the same cloud mask algorithm. This processing therefore allows a like-for-like com-566 parison to be performed between the ceilometer and reanalyses output which accounts 567 for instrumental sensitivities and differences in the way that the models represent cloud. 568 Comparison between cloud occurrences derived from the instrument simulator (Figure 1) 569 and those taken directly from the reanalyses (Figure 9) highlight the value of this method-570 ology. However, it must be borne in mind that the nature of the radiative transfer cal-571 culations used in the lidar simulator mean that the impact of both cloud phase and cloud 572 fraction are convolved. 573

Comparison of the CL51 ceilometer vertical profiles of cloud occurrence relative to previous observations made during the AWARE campaign (Lubin et al., 2020) suggest that low-level cloud may be underestimated because of differences in instrument sensitivity. However, comparison with previous CALIOP-CloudSat climatologies over the Ross Ice Shelf (Jolly et al., 2018) suggest that these observations observe significantly more cloud below 2 km than the satellite observations, this result further supports the conclusions made in McErlich et al. (2021).

Critically, we find that the vertical profile of cloud occurrence for all three reanalyses shows significant underestimation below 3km and a smaller overestimation above that altitude relative to the CL51 observations. This result compares qualitatively with a comparison between CAM6 simulations and the AWARE dataset detailed in Yip et al. (2021) which was partially attributed to low biases in humidity relative to observations.

Recent work detailed in Zhang et al. (2023) has identified that output from the En-587 ergy Exascale Earth System Model version 2 (EAMv2) tends to overestimate cloud fre-588 quency of occurrence throughout the year in Antarctica which differs from our results. 589 However, they also find that cloud base height and cloud top height are much higher than 590 observations across the year. This would suggest underestimates of cloud occurrence at 591 low altitudes and overestimates at higher altitudes which match with the results observed 592 in the present study. They also identify that EAMv2 tends to simulate stratiform mixed-593 phase clouds with significantly underestimated liquid water paths at McMurdo station. 594 This matches with results form the ERA5 and JRA55 reanalysis which show smaller frac-595 tions of liquid water clouds than identified in the ceilometer observations using the XG-596 Boost scheme (Guyot et al., 2022). 597

Furthermore, Yip et al. (2021) highlighted a strong positive relationship between biases in cloud occurrence and relative humidity between CAM6 model output and observations made at McMurdo station. Examination of Figure 2 and Figure 3 (e)-(h) shows that this relationship is not identified when looking at the three reanalyses relative to

the observations used in this study. In particular, the relative humidity is overestimated 602 in all of the reanalyses relative to radiosonde observations made at McMurdo station in 603 the bottom 2 km of the atmosphere, while cloud occurrences are underestimated. This 604 difference between the present study and the result in Yip et al. (2021) could be partially 605 explained by our use of an instrument simulator which allows a more robust compari-606 son between the cloud occurrence observations and the model output. The similar mag-607 nitudes of the underestimated and overestimated cloud occurrences below and above 3km 608 in Yip et al. (2021) are potentially caused by the a lack of consideration of instrumen-609 tal factors relative to our analysis which shows much larger biases at low altitudes. The 610 lack of correlation between relative humidity and cloud occurrence biases at low-levels 611 for the three reanalyses suggests that the cloud occurrence biases are likely due to pa-612 rameterisation errors. 613

Further support for the robustness of the present analysis comes from results in Kuma et al. (2020), which compared ceilometer observations against nudged HadGEM3 general circulation model and MERRA2 reanalysis output processed using the ALCF instrument simulator. In particular, the biases between the MERRA2 cloud occurrences and the ceilometer observations over the Southern Ocean were quite similar to those observed in the present study at low altitudes.

Our results also show that the CL51 ceilometer seasonal cloud occurrence and cloud fraction shows little variation, similar to results in Jolly et al. (2018) and Silber et al. (2018). Notably, we find that there is a lack of a strong seasonal cycle in cloud fraction in both the CL51 ceilometer observations and the reanalyses. However, the cloud fraction is underestimated by around 25% in ERA5 and MERRA2 and by 70% in JRA55 relative to the CL51 ceilometer observations. This work thus further demonstrates the value of instrument simulators model evaluation.

Given that previous work has highlighted the importance of synoptic state on cloud 627 properties, we derived a synoptic classification using a similar methodology to that de-628 tailed in McDonald and Cairns (2020). As expected, when grouping cloud occurrence 629 vertical profiles by synoptic state mean values display much larger variability than that 630 observed for different seasons. All three reanalyses continue to display underestimates 631 of cloud occurrence above 3km and overestimates above 3km relative to the ceilometer 632 observations for all the different nodes in our synoptic classification. However, the ERA5 633 reanalyses variability in cloud occurrence matches the changes observed in the CL51 ob-634 servations for different synoptic state much better than the other two reanalyses. In par-635 ticular, higher cloud occurrences are observed for node 1 and 4 close to 2 km and lower 636 values in node 2 and 5. Given that much of the higher altitude cloud in this region is 637 associated with large scale synoptic features, such as extra-tropical cyclones, this sug-638 gests that ERA5 represents these controlling factors better in these situations than ei-639 ther JRA55 or MERRA2. 640

Additionally, we note that the cloud occurrence is underestimated for all nodes be-641 low 2 km in all three reanalyses. Positively, vertical profiles of the cloud occurrence de-642 rived from the JRA55 and MERRA2 reanalyses do show variations in cloud occurrence 643 which correspond with the CL51 observations, though the correspondence is much poorer 644 than that between the CL51 observations and the ERA5 reanalyse above 2 km. Wile the 645 patterns are quite consistent between the JRA55 and MERRA2 simulation results in gen-646 eral, the MERRA2 cloud occurrences are higher at nearly every altitude in every node 647 than the corresponding JRA55 values. These results likely represent differences between 648 the underlying cloud parameterisations in the different reanalyses. 649

Finally, we apply a machine learning scheme developed for the classification of cloud phase from attenuated volume backscatter coefficient data. This scheme has been developed and validated previously for polar conditions as discussed in detail in Guyot et al. (2022). While we can not validate this algorithm at Scott Base because of a lack of

polarisation data, visual inspection of attenuated volume backscatter coefficient data and 654 cloud classifications appears to confirm that this scheme works well (see Figure 7), though 655 may provide a conservative estimate of super-cooled liquid cloud. Classification of the 656 climatological attenuated volume backscatter coefficient data from the CL51 observations at Scott Base allows the mean occurrence of super-cooled liquid water cloud to be 658 derived. The super-cooled liquid water cloud fraction remains relatively constant between 659 0.5 to 2.5 km at an occurrence rate of 5% and rapidly declines above that level. This pat-660 tern matches with vertical profile identified in Silber et al. (2018), though the cloud oc-661 currence is again lower. This suggests that these relatively inexpensive vertically point-662 ing lidars which can be left unattended for long periods can be a valuable source of data 663 on cloud properties in the Antarctic environment which complements satellite observations. Application of a simple classification of reanalyses output, see details in (Desai 665 et al., 2023), shows that ERA5 and JRA55 appear to significantly underestimate liquid-666 water cloud and mixed phase cloud relative to the values derived from the Cl51 obser-667 vations. While liquid water and mixed phase cloud makes up the majority of the cloud 668 observed in the MERRA2 reanalysis below 5 km, possibly explaining the large difference 669 between the raw cloud occurrence and the cloud occurrence derived from the instrument 670 simulator for this reanalyses. 671

In summary, our results highlight that the vertical profile of cloud occurrence for 672 all three reanalyses shows significant underestimation below 3km and a smaller overes-673 timation above that altitude relative to the CL51 observations. The low-level biases are 674 largest for the JRA55 reanalysis in terms of cloud occurrence and cloud phase. The MERRA2 675 reanalysis displays the largest cloud occurrence biases at higher altitudes relative to the 676 CL51 observations and appears to overestimate the proportion of super-cooled liquid and mixed phase cloud at low levels. The larger bias at higher altitudes likely offsets the low-678 level cloud occurrence biases in MERRA2 when cloud fraction is examined. Finally, the 679 ERA5 cloud occurrence is significantly under-estimated relative to the ceilometer obser-680 vations at low-levels, but displays small biases elsewhere. In particular, the ERA5 re-681 analysis displays an improved representation of cloud occurrence when data is grouped 682 based on synoptic state relative to the other two reanalyses. 683

Further work will apply the machine learning scheme detailed in Guyot et al. (2022) to a set of ceilometer observations made across the Antarctic continent. This will provide a set of surface observations distributed over a wide geographic region for comparison with satellite observations and reanalyses for the first time. It will also allow us to determine whether Scott Base can be considered to be a representative site for further cloud property analyses.

#### 5 Open Research

The ERA5 reanalyses data used in this study are available for download from the 691 Climate Data Store at https://doi.org/10.24381/cds.143582cf. The JRA-55: Japanese 692 55-year Reanalysis 3-hourly data is available from the Research Data Archive at the Na-693 tional Center for Atmospheric Research, Computational and Information Systems Lab-694 oratory at https://doi.org/10.5065/D6HH6H41. The MERRA2 data is available for 695 downalod from the GES-DISC download site at https://doi.org//10.5067/WWQSXQ8IVFW8. 696 The AMPS archive data used in this study can be downloaded from https://www.earthsystemgrid 697 .org/dataset/ucar.mmm.amps.html. The McMurdo Station Radiosonde Observations 698 are available from https://doi.org/10.48567/ka0n-n046. 699

All of the University of Canterbury ceilometer data processed using ALCF and the output from the ALCF lidar simulator derived from the various model archives (AMPS, ERA5, JRA55 and MERRA2) used in this study are accessible at Zenodo, along with code for creating all figures (https://doi.org/10.5281/zenodo.11458722, McDonald

and Plank, 2024). The Automatic Lidar Ceilometer Framework software package is avail-704

able at https://doi.org/10.5281/zenodo.3764287 (Kuma et al., 2021). 705

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